

USA PATRIOT ACT COMPLIANCE CONFIRMATION FORM

The USA Patriot Act requires certain segments of the gem and jewelry industry to implement anti-money laundering compliance programs. In the spirit of maintaining the best practices in corporate governance, check the appropriate box below and complete the information requested. You represent and warrant that the information provided below is complete and accurate for the duration of this Agreement. You agree to update the information below at such time as it is no longer complete or accurate.

Check one:

- Individual or company in the gem and jewelry industry. Complete and sign the form below.
- Individual public consumer seeking ECI, Inc. services for personal use. Complete section 5 only.

1. Company Information

Company Name: _____ Trading Name(if different): _____
Street Address: _____
City, State/Province, Postal Code: _____ Country: _____
Phone: _____ Email Address: _____

2. Government Issued Identification Numbers (If not available, please complete Section 2A.)

Tax Identification Numbers:	Issuing Body or Agency:
_____	_____
_____	_____
_____	_____

2A. If Tax Identification Numbers are not available

Social Security Number or Passport Number of Principals:	Name:	Issuing Body or Agency:
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Business Licenses

License Number:	Type of License:	Issuing Body or Agency:
_____	_____	_____
_____	_____	_____
_____	_____	_____

4. Does or will the company have a USA Patriot Act Compliance Program? Yes No

Name _____ Title: _____
Phone: _____ Fax: _____
Email Address: _____
If no, state the reason: _____

5. Name of Person Completing the Form

Signature: _____ Print Name: _____ Date: _____
Title: _____ Email Address: _____
Company Name: _____ Fax: _____

.....**ECI, Inc. Office Use Only**.....

Received By: _____ Date: _____ Entered By: _____ Date: _____ Client #: _____

After signing, Client to return the copy to ECI, Inc.